

PATENT APPLICATION
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78078

Hideki MORIKAKU, et al.

Appln. No.: Unknown

Confirmation No.: Not yet assigned

Group Art Unit: Not yet assigned

Filed: October 28, 2003

Examiner: Not yet assigned

For: ROTOR OF ROTATING ELECTRIC MACHINE

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under § 1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

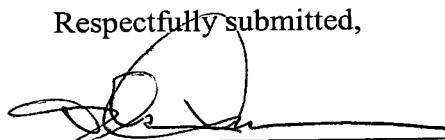
In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations: **English**

language abstracts, submitted herewith, constitute concise statements of relevance for Japanese Application Laid-Open Publication Nos. 11-136913 and 2001-86715. Also JP-A-11-136913 corresponds to U.S. Patent Publication No. 5,903,084 and JP-A-2001-86715 corresponds to U.S. Patent Publication No. 6,201,335.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,



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WASHINGTON OFFICE

23373

CUSTOMER NUMBER

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Date: October 28, 2003

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| Substitute for Form 1449 A & B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i> | | | | <i>Complete if Known</i> | |
| | | | | Application Number | Unknown |
| | | | | Confirmation Number | Not yet assigned |
| | | | | Filing Date | October 28, 2003 |
| | | | | First Named Inventor | Hideki MORIKAKU, et al. |
| | | | | Art Unit | Not yet assigned |
| | | | | Examiner Name | Not yet assigned |
| Sheet | 1 | of | 1 | Attorney Docket Number | Q78078 |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document |
|--------------------|-----------------------|-----------------|--------------------------------------|--------------------------------|---|
| | | Number | Kind Code ² (if known) | | |
| | | US 5,903,084 | A | 05/11/1999 | Mitsubishi Denki Kabushiki Kaisha |
| | | US 6,201,335 | B1 | 03/13/2001 | Mitsubishi Denki Kabushiki Kaisha |
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FOREIGN PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Translation ⁶ |
|--------------------|-----------------------|---------------------------|---------------------|--------------------------------------|--------------------------------|---|--------------------------|
| | | Country Code ³ | Number ⁴ | Kind Code ⁵ (if known) | | | |
| | | JP | 11-136913 | A | 05/21/1999 | | Abstract |
| | | JP | 2001-86715 | A | 03/30/2001 | | Abstract |
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NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published. | Translation ⁶ |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to indicate here if English language Translation is attached.

MITSUBISHI DENKI KABUSHIKI KAISYA
I.D.S (Information Disclosure Statement)

Date : October 17, 2003

Re : Our Ref : 541723US01(K2989US)
Your Ref :
SerialNo :

☒ New Application
☐ European Search Report
☐ Other

| Prior U.S. Application Of Mitsubishi Denki | Title and Brief Description |
|--|-------------------------------------|
| <input checked="" type="checkbox"/> NONE | NONE |
| Publication Document and Date | Title and Brief Description |
| ① US PATENT NO. US5903084 Date of Patent : 1999-05-11 (Japanese patent publication) No. JP1999-136913 Pub date: 1999-05-21 | 「ROTOR FOR DYNAMO-ELECTRIC MACHINE」 |
| ② US PATENT NO. US6201335 Date of Patent : 2001-03-13 (Japanese patent publication) No. JP2001-86715 Pub date: 2001-03-30 | 「ROTOR STRUCTURE」 |

☐ : Other _____ (Date : _____)